

FAIS Client Contact Disclosure

DISCLOSURE	Full business and trade names	Evolution Global Investment Solutions (Pty) Ltd	
	Registration no.	2018/454114 /07	
	FAIS license no.	Juristic Representative of Green Grass Wealth Management CC: 19308	
	Physical address	138 Athol Road, Highlands North, Johannesburg, 2192	
	Telephone no.	+27 10 109 3458	
	Fax no.	N/A	
	E-mail	jan@egis.co.za / admin@egis.co.za	
	Website	www.evolutioninvestments.co.za	
	KEY INDIVIDUAL	Contact No.	E-mail address
	Mr Liron Mazor	+27 82 347 9377	Liron@gqwm.co.za
	MANAGEMENT		
	Mr Jan Faure	+27 82 319 8747	jan@egis.co.za
	<p>2. We, Evolution Global Investment Solutions (Pty) Ltd certify that all employees that make investment recommendations are registered as a representative and have a service contract to represent Evolution Global Investment Solutions (Pty) Ltd. Evolution Global Investment Solutions (Pty) Ltd accepts responsibility for the activities that the employees perform within the scope of his/her contract of agreement. Evolution Global Investment Solutions (Pty) Ltd is satisfied that they are competent to act when rendering a financial service on behalf of Evolution Global Investment Solutions (Pty) Ltd, taking into consideration the personal character qualities of honesty and integrity, and competence and operational ability, as defined in the Fit and Proper requirements.</p>		
	<p>3. Compliance Officer: Justin Joannides, Tel no: +27 11 234 4991, E-mail: Justin@cruxconsulting.co.za</p>		
<p>4. External Compliance Officer: Crux Compliance (Pty) Ltd, Practice Number: C03485</p>			
<p>5. DETAILS OF THE FINANCIAL SERVICES WHICH THE FSP IS AUTHORISED TO PROVIDE.</p> <p>The license authorises the licensee to conduct business in respect of:</p>			
<p>5.1.1 Financial Advisory and Intermediary Services (Category I) as a Financial Services Provider in respect of the following products:-</p> <p>Securities and Instruments – Shares, Money Market Instruments, Debentures and Securitised Debt, Warrants, certificates and other instruments, Bonds and Derivative Instruments.</p>			
<p>5.2.1 Conditions and Restrictions: The financial service provider must inform the registrar in writing, by facsimile or in an appropriate electronic format, within fifteen days after the change has taken place, of any change in respect of business information of the financial services provider as provided in form FSP1, FSP3, FSP4, FSP9, FSP10, FSP10A, or FSP11, respectively of the application from which was submitted by the provider for the business of obtaining a license, and in particular relating to the providers representatives, auditor, compliance officer or any foreign clearing firm or foreign Forex service provider involved (if any) and nominee company or independent custodian involved or the shareholders, directors to trustees of any such company or custodian (if any).</p>			

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DISCLOSURE	<p>5.3.2 The financial services provider must at all times during the validity of the providers license maintain the services of any key individual or key individuals mentioned in the information submitted on the said application format. Changes in respect of such information relating to a key individual or the appointment of a new key individual of the provider, in addition to acting also in such cases in accordance with the procedure and time limit set out in Condition 1, also ensure full compliance with section 8(4)(b) of the Act, the provisions of which must be regarded as concluded in this Condition.</p>	
	<p>5.3.3 The financial services provider must within one month of the date contemplated in section 7 of the Act, submit a copy of the register in terms of section 13(3) of the Act to the registrar, and must thereafter in accordance with the procedure and time limit set out in Condition 1, inform the registrar of any change effecting the details as contained in that register.</p>	
	<p>5.3.4 The Financial services provider must not in any manner change the name of the financial services business under such a changed name, unless (a)the provider has fully complied with the provisions of any other law than the Act that regulates such change of business name (if any), (b) the provider has fully disclosed to the Registrar the details of such compliance with such other law, (c) the Registrar is satisfied that such change of name is otherwise lawful and has approved such change of name and (d) the Registrar has issued to the provider an appropriately amended license under the provisions of section 8(5)(b)(l) of the Act.</p>	
	<p>5.3.5 The financial services provider must at all times ensure that any financial product in respect of which the provider intends to render a financial service, qualifies as a financial product contemplated in the Act and is or will be lawfully issued by the relevant product supplier by virtue of an authority, approval or right granted to such supplier under a law as contemplated in the definition of "product supplier" in section 1(1) of the Act.</p>	
	<p>6. GUARANTEES, PROFESSIONAL INDEMNITY, FIDELITY AND INSURANCE</p>	
	<p>The abovementioned FSP holds the following cover:</p>	<p>Professional indemnity</p>
	<p>7. SERVICES UNDER SUPERVISION</p>	
	<p>A full list of Evolution Global Investment Solutions (Pty) Ltd employees and the products that they are registered for, as well as their status , if Under Supervision, is available via the following link: https://www.fsca.co.za/Fais/Search_FSP.htm FSP Number: 19308</p>	
	<p>8. EXEMPTIONS</p>	<p>No specific exemptions or the existence of any exemptions have been granted or made by the registrar with regard to any matter covered by the Act.</p>
	<p>9. FAIS OMBUD DETAILS</p>	<p>Telephone: +27 12 762 5000 / +27 12 470 9080 Facsimile: +27 86 764 1422 / +27 12 348 3447 E-mail Address: info@faisombud.co.za Website: www.faisombud.co.za</p>
	<p>10. SHAREHOLDING</p>	<p>In terms of shareholding, Evolution Global Investment Solutions has no shareholding of more than 10% in any Product Providers we work with outside of Evolution Global Investment Solutions.</p>
<p>11. REMUNERATION PROFILE</p>	<p>In the preceding 12 months, Evolution Global Investment Solutions has received more than 30% in total remuneration from a specific product supplier- SCM DMA (Pty) Ltd. Evolution Global Investment Solutions (Pty) Ltd declares that their main income is derived from non-discretionary portfolio administration.</p>	